SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres Fishman Core		son*	2. Issuer Name and Ticker or Trading Symbol <u>Iterum Therapeutics plc</u> [ITRM]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
(Last) C/O ITERUM TI			- 3. Date of Earliest Transaction (Month/Day/Year) 03/11/2023	- X X	Director Officer (give title below) Chief Executi	10% Owner Other (specify below) ve Officer					
FITZWILLIAM COURT, FL. 1, LEESON CLOSE (Street) DUBLIN 2 L2		(Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	Form filed by One Rep	roup Filing (Check Applicable Line) y One Reporting Person y More than One Reporting Person						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Ordinary Shares	03/11/2023		Α		14,333	Α	\$0.00 ⁽¹⁾	50,132(2)	D	
Ordinary Shares	03/11/2023		F		5,683 ⁽³⁾	D	\$ 0.96	44,449	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	y Transaction Derivative Code (Instr. Securities		itive ities red (A) posed of str. 3, 4	6. Date Exerce Expiration D (Month/Day/	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Share Units	(1)	03/11/2023		М			14,333	(4)	(4)	Ordinary Shares	14,333(2)	\$0.00	0	D	

Explanation of Responses:

1. Restricted share units ("RSUs") converted into ordinary shares on a one-for-one basis upon vesting of the RSUs.

2. On August 17, 2022 the Issuer effected a 1 for 15 reverse share split (the "Reverse Share Split"). The number of ordinary shares reported in this Form 4 have been adjusted to reflect the Reverse Share Split.

3. Represents the ordinary shares withheld to satisfy tax obligations arising out of vesting of a portion of previously granted RSUs.

4. On March 11, 2021, the reporting person was granted an award of 28,666 RSUs, 50% of which vested on March 11, 2022, with the remaining 50% having vested on March 11, 2023.

Remarks:

/s/ Corey N. Fishman

** Signature of Reporting Person

03/14/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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